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U.S. PTO

PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	WASH5914
First Inventor	Takao NOGUCHI
Title	Electronic Device And Method of Fabricating the Same
Express Mail Label No.	EO 902 822 468 US

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 45]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
- Oath or Declaration [Total Sheets 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: PTO Form 2038 for payment of filing ..... and assignment recordation fees .....

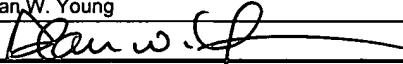
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number: 22430 OR  Correspondence address below

Name	YOUNG LAW FIRM, P.C.		
Address	4370 Alpine Road, Suite 106		
City	Portola Valley	State	CA
Country	USA	Telephone	650-851-7210
Zip Code	94028		
Fax	650-851-7232		

Name (Print/Type)	Alan W. Young	Registration No. (Attorney/Agent)	37,970
Signature			
	Date	Feb. 24, 2004	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 846.00)

## Complete if Known

Application Number	To Be Assigned
Filing Date	To Be Assigned
First Named Inventor	Takao NOGUCHI
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	WASH5914

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	<input type="text"/>
Deposit Account Name	<input type="text"/>

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	<input type="text" value="770.00"/>
1002	340	2002	170	Design filing fee	<input type="text"/>
1003	530	2003	265	Plant filing fee	<input type="text"/>
1004	770	2004	385	Reissue filing fee	<input type="text"/>
1005	160	2005	80	Provisional filing fee	<input type="text"/>
SUBTOTAL (1)		(\$ 770.00)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	22	-20** = 2	x 18 = 36
Multiple Dependent	2	- 3** = 0	x 0 = 0

Large Entity	Small Entity	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	86	2201 43 Independent claims in excess of 3
1203	290	2203 145 Multiple dependent claim, if not paid
1204	86	2204 43 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 36.00)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath	<input type="text"/>
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
1053	130	1053 130 Non-English specification	<input type="text"/>
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	<input type="text"/>
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	<input type="text"/>
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	<input type="text"/>
1251	110	2251 55 Extension for reply within first month	<input type="text"/>
1252	420	2252 210 Extension for reply within second month	<input type="text"/>
1253	950	2253 475 Extension for reply within third month	<input type="text"/>
1254	1,480	2254 740 Extension for reply within fourth month	<input type="text"/>
1255	2,010	2255 1,005 Extension for reply within fifth month	<input type="text"/>
1401	330	2401 165 Notice of Appeal	<input type="text"/>
1402	330	2402 165 Filing a brief in support of an appeal	<input type="text"/>
1403	290	2403 145 Request for oral hearing	<input type="text"/>
1451	1,510	1451 1,510 Petition to institute a public use proceeding	<input type="text"/>
1452	110	2452 55 Petition to revive - unavoidable	<input type="text"/>
1453	1,330	2453 665 Petition to revive - unintentional	<input type="text"/>
1501	1,330	2501 665 Utility issue fee (or reissue)	<input type="text"/>
1502	480	2502 240 Design issue fee	<input type="text"/>
1503	640	2503 320 Plant issue fee	<input type="text"/>
1460	130	1460 130 Petitions to the Commissioner	<input type="text"/>
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	<input type="text"/>
1806	180	1806 180 Submission of Information Disclosure Stmt	<input type="text"/>
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
1810	770	2810 385 For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
1801	770	2801 385 Request for Continued Examination (RCE)	<input type="text"/>
1802	900	1802 900 Request for expedited examination of a design application	<input type="text"/>

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

(Complete if applicable)

Name (Print/Type)	Alan W. Young	Registration No. (Attorney/Agent)	37,970	Telephone	650-851-7210
Signature					
Date	Feb. 24, 2004				

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